

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/039.970

12/31/2001

56855US002

CONFIRMATION NO. 8629

FORMALITIES LETTER

OC000000007414923

Office of Intellectual Property Counsel 3M Innovative Properties Company PO Box 33427 St. Paul, MN 55133-3427

Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Patent

Docket No: 56855US002

HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John E. Gozum, Thomas J. Reid, and Gerald L. Uhl

Group Art Unit: 2875

Serial No.: 10/039.970

December 31, 2001

Examiner: Unknown

Filed:

For: ILLUMINATION DEVICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class

Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

Date

COMPLETION OF FILING REQUIREMENTS

Box Missing Parts Commissioner for Patents Washington, DC 20231

Dear Sir:

This is in response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION mailed on February 4, 2002. Part 2 of this form is enclosed.

Enclosed is the original declaration for the above-identified application.

Please charge the fee provided in 37 C.F.R. § 1.16(e) to Deposit Account No. 13-3723. Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked DUPLICATE is also enclosed.

Registration Number Telephone Number 43,869 651/736-3512 Date March 28, 2002

Respectfully subm

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